

9/27/06

Substitute for form 1449A/PTO			<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(use as many sheets as necessary)</small>			Application Number	10/678,299
			Filing Date	October 6, 2003
			First Named Inventor	Shunpei YAMAZAKI et al.
			Art Unit	2814
			Examiner Name	Gene M. Munson
Sheet	1	of	2	Attorney Docket Number
				740756-2662

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner initials*	Cite No.*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, City and/or country where published.	T*	
		- Specification, claims and drawings of U.S. Application No. 09/101,083, filed on July 6, 1998.		
		- Specification, claims and drawings of U.S. Application No. 11/407,145, filed on April 20, 2006.		
		- Specification, claims and drawings of U.S. Application No. 11/074,687, filed on March 9, 2006.		
		- Specification, claims and drawings of U.S. Application No. 11/258,933, filed on October 27, 2005.		
		- Specification, claims and drawings of U.S. Application No. 10/754,703, filed on January 12, 2004.		
		- Specification, claims and drawings of U.S. Application No. 11/258,933, filed on October 27, 2005.		
		- Specification, claims and drawings of U.S. Application No. 09/432,662, filed on November 3, 1999.		
		- Specification, claims and drawings of U.S. Application No. 09/502,485.		
		- Specification, claims and drawings of U.S. Application No. 09/387,396.		
		- Specification, claims and drawings of U.S. Application No. 11/070,840, filed March 14, 2005.		

Examiner Signature	G. MUNSON	Date Considered	27 OCTOBER 2006
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.1). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

considered via
present application and
common references cited
by applicants

considered via
correspond US 6,355,942

filed after 6/04/1999

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.*	U.S. Patent Document		Name of Person or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code* (if known)	Publication Date MM-DD-YYYY		
		US 6,977,394	12-12-2005	Yamazaki et al.	{ filed after 6/04/1999
		US 6,966,195	11-15-2005	Yamazaki et al.	considered via correspond US 6,184,539
		US 6,436,517	07-20-2003	Hayakawa et al.	{ filed after 6/04/1999
		US 6,274,887	08-11-2001	Yamazaki et al.	considered via correspond (CVC) US 6,013,930
		US 6,170,066	05-01-2001	Keyama	CVC US 5,895,935
		US 6,304,536	03-30-2001	Yamazaki et al.	{ CVC US 6,255,942
		US 6,166,302	12-26-2000	Yamazaki et al.	CVC US 6,543,187
		US 6,703,643	03-09-2004	Yamazaki et al.	{ filed after 6/04/1999
		US 6,011,602	01-04-2000	Yamazaki et al.	
		US 2004/0196220	10-07-2004	Kinbara et al.	
		US 6,316,170 B1	06-12-2001	Yamada	
		US 6,419,645 B1	07-02-2002	Graff et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.*	Foreign Patent Document		Name of Person or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code* Number	Kind Code* (if known)		
					T ²

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.*	Include name of the author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), published, City and/or country where published.			T ²
		TSUTSUI ET AL., "HIGH QUANTUM EFFICIENCY IN ORGANIC LIGHT-EMITTING DEVICES WITH IRIDIUM COMPLEX AS A TRIPLET EMISIVE CENTER," Japanese Journal of Applied Physics, Vol. 38, Part 2, No. 12B, pp. L1502-L1504, December 15, 1999.			
		BALDO ET AL., "VERY HIGH EFFICIENCY GREEN ORGANIC LIGHT-EMITTING DEVICES BASED ON ELECTROPHORESCENCE," Applied Physics Letters, Vol. 75, No. 1, pp. 1-6, July 5, 1999.			

Examiner Signature	G. MUNSON	Date Considered	27 OCTOBER 2006
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¹ Applicant's unique citation designation number (optional). ² See Kinds of USPTO Patent Documents at 222 uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

English translation of priority Japanese application 11-158787 (filed 6/04/1999)
pursuant to 35 U.S.C. 119 was filed in parent application SN 09/578,895.

On copy of 2/24/06 IDS, crossed through Japanese reference was
considered via corresponding US 5,151,632 rather than "5,515,632" which
was a misprint.

10/18/08

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First Named Inventor	Shunpei YAMAZAKI et al.			
Art Unit	2811			
Examiner Name	Gene M. Munson			
Sheet	1	of	1	Attorney Docket Number
				740756-2662

considered via
correspond US 6,013,990
filed after 6/04/1999

considered via
correspond US 6,407,431
filed after 6/04/1999

filed after 6/04/1999

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Case No.*	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of cited Document	Page, Column, Line, Where Referenced Passage or Reference Figure Appears	T*
		Country Code* Number* (if known)				
		JP 2000 0771191	03-14-2000	Yamazaki et al.		Abstract
		EP 0 900 505 A3	06-10-2000	Yamazaki et al.		Abstract
		EP 0 940 407 A2	09-15-1999	Kumura		Full

} published
after 6/04/1999

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue numbers, publisher, city and/or country where published.
		<u>TSUTSUJI ET AL., "HIGH QUANUM EFFICIENCY IN ORGANIC LIGHT EMITTING DEVICES WITH IRIDIUM COMPLEX AS A TRIPLET EMISIVE CENTER," Japanese Journal of Applied Physics, Vol. 38, Part 2, No. 12B, pp. L1502-L1504, December 15, 1999.</u>
		<u>Specification, claims and drawings of U.S. Application No. 09/590,485, filed on May 30, 2000.</u>
		<u>Specification, claims and drawings of U.S. Application No. 09/587,260, filed on June 3, 2000.</u>

published
after 6/04/1999

} filed after 6/04/1999

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. ³ Enter Office that is used the document. By the two-letter code (WIPO Standard ST.1). ⁴ For Internecc current documents, the indication of the year of the return of the Examiner.

that is used the document by the two-letter code (WIPO Standard ST.3).³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁵ Applicant is to place a check mark here if English language Translation is attached.

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English translation of priority Japanese application 11-158787 (filed 6/04/1999) pursuant to 35 U.S.C. 119 was filed in parent application SN 09/578,895.